Electronic Acknowledgement Receipt		
EFS ID:	EFS ID: 9173138	
Application Number:	10697907	
International Application Number:		
Confirmation Number:	9741	
Title of Invention:	Method and apparatus for creating and evaluating strategies	
First Named Inventor/Applicant Name:	Scott Malcolm Caplan	
Customer Number:	76615	
Filer:	Carl A. Kukkonen/Teri Barnett	
Filer Authorized By:	Carl A. Kukkonen	
Attorney Docket Number:	35006-556F01US	
Receipt Date:	05-JAN-2011	
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Time Stamp:	18:45:27	
Application Type:	Utility under 35 USC 111(a)	

# Payment information:

Submitted wi	th Payment	no	no			
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		556F01US Reply.pdf	2253889	ves	21
·			Bbac56adHe288HISa3c407fe653fec17fc1c8 1a1	,	-

Multipart Description/PDF files in .zip description			
Document Description	Start	End	
Amendment/Req. Reconsideration-After Non-Final Reject	1	1	
Claims	2	15	
Applicant Arguments/Remarks Made in an Amendment	16	21	

## Warnings:

Information:

Total Files Size (in bytes): 2253889

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.